Please type a plus sign (+) inside this box -> +

valid OMB control number.

PTO/SB/21 (12-97)
Approved for use through 9/30/00. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/537,001
Filing Date	March 28, 2000
First Named Inventor	Easwar
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	LS/0001.02

06/28/2000

ENCLOSURES (check all that apply)					
X Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group			
X Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences			
Amendment / Response	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final	Petition Routing Slip (PTO/SB/69) and Accompanying Petition	Proprietary Information			
Affidavits/declaration(s)	To Convert a Provisional Application	Status Letter			
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	Additional Enclosure(s) (please identify below):			
Express Abandonment Request	Terminal Disclaimer  X Small Entity Statement				
Information Disclosure Statement	Request for Refund				
Certified Copy of Priority Document(s)	Remarks				
Response to Missing Parts/ Incomplete Application					
X Response to Missing Parts under 37 CFR 1.52 or 1.53					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm John A. Smart or Individual name Attorney of re	ecord				
Signature Signature					
Date June 28, 2000					
CERTIFICATE OF MAILING					

9

Typed or printed name John A. Smart Date 06/28/2000 Signature Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an

envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

PTO/SB/17 (12/99)

Approved for use through 09/30/2000. OMB 0651-0032

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# for FY 2000

Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

<b>TOTAL AMOUNT O</b>	F PAYMEN
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Complete if Known				
Application Number	09/537,001			
Filing Date	March 28, 2000			
First Named Inventor	Easwar			
Examiner Name	Unassigned			
Group / Art Unit	Unassigned			
Attorney Docket No.	LS/0001.02			

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES					
1. indicated fees and credit any overpayments to:	Large Entity Small Entity					
Deposit	Fee Code	e (\$)	Fee Cod	Fee e (\$)	Fee Description	Fee Paid
Account Number	105	130	205	65	Surcharge - late filing fee or oath	65.00
Deposit Account	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Name	139	130	139	130	Non-English specification	
Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	147	2,520	147	2,520	For filing a request for reexamination	
	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
2. X Payment Enclosed: X Check Order Other	113	1,840*	113	1,840	Requesting publication of SIR after Examiner action	
FFF OALOUE ATION	115	110	215	55	Extension for reply within first month	
FEE CALCULATION	116	380	216	190	Extension for reply within second month	
1. BASIC FILING FEE	117	870	217	435	Extension for reply within third month	
Large Entity Small Entity Fee Fee Fee Fee Description	118	1,360	218	680	Extension for reply within fourth month	
Code (\$) Code (\$) Fee Paid	128	1,850	228	925	Extension for reply within fifth month	
101 690 201 345 Utility filing fee 345.00	119	300	219	150	Notice of Appeal	
106 310 206 155 Design filing fee	120	300	220	150	Filing a brief in support of an appeal	
107 480 207 240 Plant filing fee	121	260	221	130	Request for oral hearing	
108 690 208 345 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
114 150 214 75 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$) 345.00	141	1,210	241	605	Petition to revive - unintentional	
2. EXTRA CLAIM FEES	142	1,210	242	605	Utility issue fee (or reissue)	
Fee from Extra Claims below Fee Paid	143	430	243	215	Design issue fee	
Total Claims 50 -20**= 30 X 9.00 = 270.00	144	580	244	290	Plant issue fee	
Independent 3 -3**= 0 x 39.00 = 0.00	122	130	122	130	Petitions to the Commissioner	
Multiple Dependent =	123	50	123	50	Petitions related to provisional applications	
**or number previously paid, if greater; For Reissues, see below	126	240	126	240	Submission of Information Disclosure Stmt	
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40	Recording each patent assignment per property (times number of properties)	
103 18 203 9 Claims in excess of 20	146	690	246	345	Filing a submission after final rejection	
102 78 202 39 Independent claims in excess of 3	149	690	249	345	(37 CFR § 1.129(a))  For each additional invention to be	
104 260 204 130 Multiple dependent claim, if not paid		•••			examined (37 CFR § 1.129(b))	
109 78 209 39 ** Reissue independent claims over original patent	Other	fee (sp	ecify)			
110 18 210 9 ** Reissue claims in excess of 20 and over original patent						
SUBTOTAL (2) (\$) 270.00	SUBTOTAL (2) (\$) 270.00 • Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 65.00					65.00
SUBMITTED BY Complete (if applicable)						
		Regist	ration	No 1		

SUBMITTED BY	f applicable)			
Name (Print/Type)	John A. Smart	Registration No. (Attorney/Agent) 34,929	Telephone	(408) 395-8819
Signature	In formant		Date	06/28/2000

WARNING:

formation on this form may become public. Credit card information should not be ncluded on this form. Provide credit card information and authorization on PTO-2038.

SECTORS

PATENT Docket No. LS/0001.02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Venkat V. Easwar et al.

Serial No.: 09/537,001

Filed: March 28, 2000

For: Improved Digital Camera Device and

Methodology for Wireless Progressive

Transmission of Digital Images

Examiner: Unassigned

Art Unit: Unassigned

**RESPONSE TO MISSING PARTS** 

Box Missing Parts, Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts mailed June 2, 2000, the following items(s) are submitted herewith:

[X] Signed Inventor Declaration/Power of Attorney

[X] Statutory Filing Fee Transmittal

In satisfaction of the fee required under 37 C.F.R. § 1.16(e), a **Fee Transmittal Sheet** is enclosed herewith. Also enclosed herewith is a copy of the Notice to File Missing Parts. If needed, the undersigned may be reached at (408) 395-8819.

Respectfully submitted,

Date: June 28, 2000

ohn A. Smart; Reg. No. 34,929

Attorney of record

708 Blossom Hill Rd., PMB#201 Los Gatos, CA 95032-3503 (408) 395-8819 (408) 490-2853 FAX

LS/0001.02





## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/537,001

03/28/2000

Venkat V. Easwar

LS/0001.02

John A Smart 708 Blossom Hill Rd 201 Los Gatos, CA 95032



Date Mailed: 06/02/2000

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

  Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$540.
  - \$540 for 30 total claims over 20.
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

• The balance due by applicant is \$ 1360.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/06/2000 SDUDNG 00000082 09537001

01 FC:201 345.00 QP 02 FC:203 270.00 QP 03 FC:205 65.00 QP